



# Fiduciary Services

2019



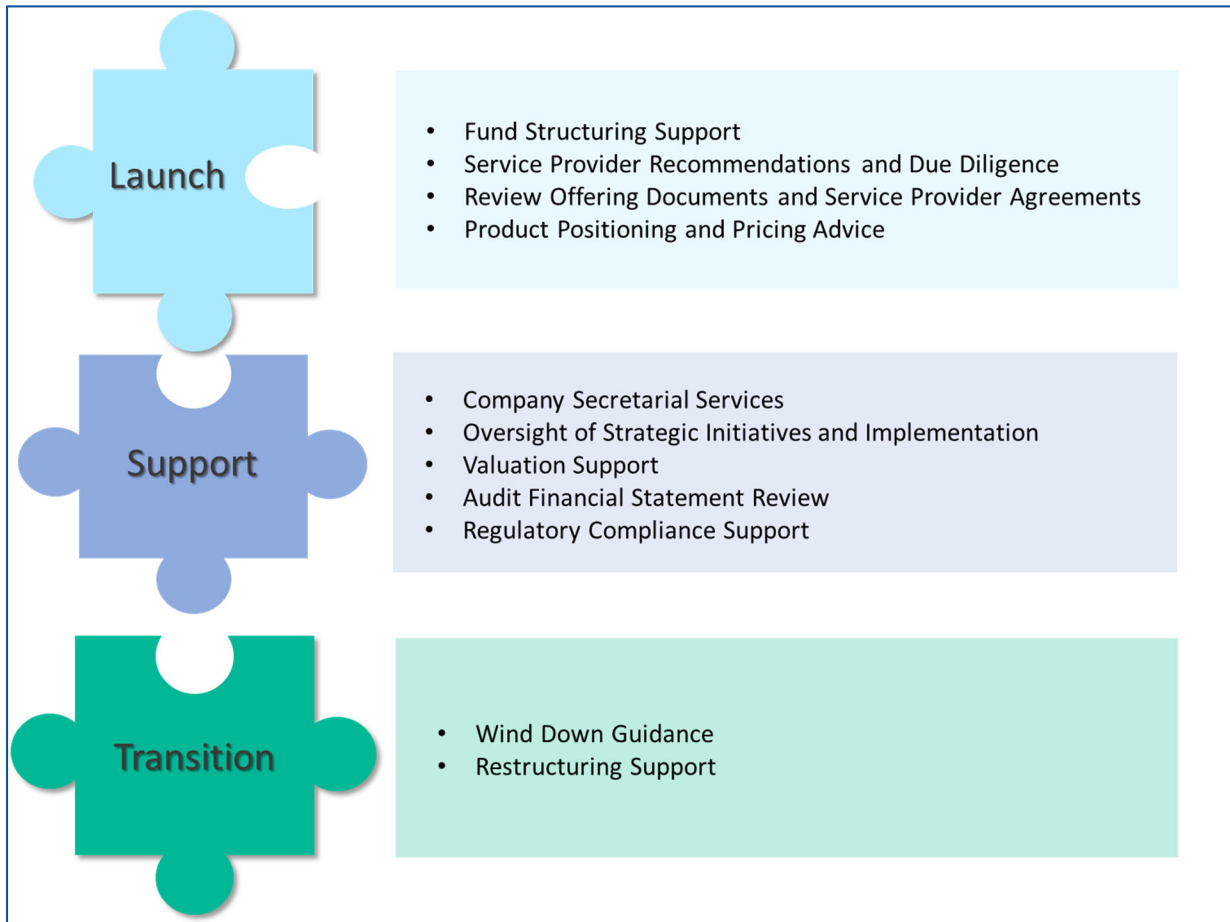
We are independent fiduciaries with long standing experience in developing and operating investment funds at leading, global asset managers.



## About us

*Heine & Kim Fiduciary Partners* is a partnership of highly skilled asset management specialists focused on providing independent fund director and fiduciary services to investment funds, asset managers and financial institutions.

We believe an independent, engaged, and highly skilled board ensures the best outcome for both shareholders and asset managers alike. Our team members each have over 20 years' experience in the asset management industry and/or large international firms servicing financial institutions. We are focused on working with fund managers to find creative solutions and to oversee how their business goals are achieved.



## Core areas of expertise

**Fund formation:** structuring and related regulatory issues;

**Investment focus:** hedge funds (all strategies including fund of funds), private equity, infrastructure, long only (equity, fixed income, multi-asset), money market funds and registered funds;

**Service providers:** extensive contacts and work experience with outside legal counsel, administrators, auditors, prime brokers and broker/dealers;

**Operations:** expertise in the operational set up and management of registered funds (US registered funds, UCITS, etc.) as well as private investment funds;

**Cross border experience:** US, Cayman Islands, Ireland, Luxembourg, UK, Switzerland, Hong Kong, Japan and Korea;

**Diversity:** minority representation criteria.

Currently, we are engaged in providing fiduciary services for top tier asset management firms whose investors include US and non-US pension plans, distribution platforms, family offices, financial institutions, sovereign wealth funds and high net worth individuals.



Before founding *Heine & Kim Fiduciary Partners* together with Michael Kim, Ramona Heine was Managing Director and Chief of Staff to UBS' Fund of Hedge Funds Business, Asset Management's Products areas, the Investment Specialists organization as well as UBS' Asset Servicing business (total of around 450 staff).

Prior to this role she was responsible for UBS Asset Management's global Product Strategy, global Pricing, Proprietary Capital as well as product development and mandate solutions for the fund of hedge funds business, the single manager hedge fund business UBS O'Connor, and the UBS infrastructure and Private Equity businesses.

Prior to joining UBS in 2007, Ramona ran the product development and business strategy for both hedge funds and structured products at Threadneedle Investments in London.

Prior to joining Threadneedle, she worked at Coronation International in London where she was overseeing Product Development and Client Services of the fund of hedge fund business.

Ramona began her career in 1996, when she joined Commerzbank in Germany where she worked in several roles including as an analyst in the asset allocation team in the wealth management division and as a product developer in the asset management division.

Ramona graduated in 2002 from Frankfurt School of Finance and Management with honors.



From 2014 through 2018, Michael served as General Counsel of UBS Hedge Fund Solutions LLC. As General Counsel, he was primarily responsible for all legal matters within the management team and all of its investment management services and fund offerings.

Michael has extensive knowledge and understanding of complex regulations and their implementation related to investment managers and investment funds. He has advised on corporate governance issues, conflicts of interests, fiduciary responsibilities, compliance programs, employment matters and litigation for investment funds.

Prior to becoming General Counsel, Michael was the Deputy General Counsel of A&Q Investment Solutions, which consisted of both the single managed and multi-manager hedge funds businesses.

Prior to joining UBS in 2004, Michael worked as an associate in the Investment Funds team at Schulte, Roth & Zabel LLP where he focused on hedge fund structuring and formation, and before that, at Skadden Arps Slate Meagher & Flom LLP where he worked in the complex litigation group (1999-2002).

After graduating from law school in 1998, Michael clerked for the Honorable Ricardo M. Urbina, then a United States District Judge of the United States District Court of the District of Columbia.

Michael holds a Bachelor of Arts degree from The Johns Hopkins University and a JD from the George Washington Law School and he is admitted to practice law in the State of New York.

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